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| Document of | | |
| The World Bank | | |
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| FOR OFFICIAL USE ONLY | | |
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| SMALL GRANT CONCEPT NOTE | | |
| . | | |
| ON A | | |
| . | | |
| PROPOSED { GRANT} | | |
| . | | |
| IN THE AMOUNT OF {…} | | |
| . | | |
| TO THE | | |
| . | | |
| {BORROWER/RECIPIENT} | | |
| . | | |
| FOR A | | |
| . | | |
| {} | | |
| Vice President: |  |
| Country Director: |  |
| Sector Director: |  |
| Sector Manager: |  |
| Task Team Leader: |  |

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| **CN DATA SHEET** | | | | | | | | | | | | | | | | | | | | | |
| . | | | | | | | | | | | | | | | | | | | | | |
| *Country Name* | | | | | | | | | | | | | | | | | | | | | |
| *Grant Name* | | | | | | | | | | | | | | | | | | | | | |
| . | | | | | | | | | | | | | | | | | | | | | |
| **GRANT CONCEPT NOTE** | | | | | | | | | | | | | | | | | | | | | |
| . | | | | | | | | | | | | | | | | | | | | | |
| *Region* | | | | | | | | | | | | | | | | | | | | | |
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| **Basic Information** | | | | | | | | | | | | | | | | | | | | | |
| Date: | | | | | |  | | | | | | Sectors: | | | |  | | | | | |
| Country Director: | | | | | |  | | | | | | Themes: | | | |  | | | | | |
| Sector Manager/Director: | | | | | |  | | | | | | EA Category: | | | |  | | | | | |
| **Information for the project that the grant will help prepare** | | | | | | | | | | | | | | | | | | | | | |
| Project ID: | | | | | |  | | | | | |  | | | |  | | | | | |
| Instrument: | | | | | |  | | | | | |  | | | |  | | | | | |
| Total Project Cost: | | | | | |  | | | | | |  | | | |  | | | | | |
| Total Expected Bank Financing: | | | | | |  | | | | | |  | | | |  | | | | | |
| Board Approval Date: | | | | | |  | | | | | |  | | | |  | | | | | |
| Team Leader(s): | | | | | |  | | | | | |  | | | |  | | | | | |
| Joint IFC: Yes/No | | | | | | | | | | | | | | | | | | | | | |
| Grant Implementation Period: | | | | | | | | Start Date: | |  | | | End Date: | | | |  | | | | |
| . | | | | | | | | | | | | | | | | | | | | | |
| **Grant Financing Data** | | | | | | | | | | | | | | | | | | | | | |
| [ ] | Loan | [ ] | | | Grant | | | | [ ] | | Other | | | | | | | | | | |
| [ ] | Credit | [ ] | | | Guarantee | | | |
| **For Loans/Credits/Others (US$M):** | | | | | | | | | | | | | | | | | | | | | |
| Total Preparation Cost : | | |  | | | |  | | | | | | | Total Bank Financing : | | | | |  |  | |
| Total Cofinancing : | | |  | | | |  | | | | | | | Financing Gap : | | | | |  |  | |
| . | | | | | | | | | | | | | | | | | | | | | |
| **Financing Source** | | | | | | | | | | | | | | **Amount** | | | | | | |  |
| BORROWER/RECIPIENT | | | | | | | | | | | | | |  | | | | | | | |
| IBRD | | | | | | | | | | | | | |  | | | | | | |  |
| IDA: New | | | | | | | | | | | | | |  | | | | | | | |
| IDA: Recommitted | | | | | | | | | | | | | |  | | | | | | |  |
| ECA REGION CAPACITY DEVELOPMENT MDTF | | | | | | | | | | | | | |  | | | | | | | |
| Financing Gap | | | | | | | | | | | | | |  | | | | | | | |
| Total | | | | | | | | | | | | | |  | | | | | | | |
| . | | | | | | | | | | | | | | | | | | | | | |
| Recipient: | | | | | | | | | | | | | | | | | | | | | |
| Implementing Agency: | | | | | | | | | | | | | | | | | | | | | |
| Contact: | | | |  | | | | | | | | | | | Title: | | |  | | | |
| Telephone No.: | | | |  | | | | | | | | | | | Email: | | |  | | | |
| Implementing Agency: | | | | | | | | | | | | | | | | | | | | | |
| Contact: | | | | | | | | | | | | | | | Title: | | | | | | |
| Telephone No.: | | | |  | | | | | | | | | | | Email: | | |  | | | |
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**I. Introduction and Context**

**[This section provides the introduction, general context, including the country, sectoral and institutional context, and grant rationale.]**

A. Country Context

[This section should summarize the country context in which the grant will be implemented.]

B. Sectoral and Institutional Context

[This section should summarize the sectoral and institutional context in which the grant will be implemented. Explain how the proposed operation would support the borrower’s objectives, policies, and strategies in the sector.]

C. Relationship to CAS (or country priorities – for preparation of ACF funded operations)

[How would the proposed operation support the relevant CAS objective(s) or country priorities?  List the operation name (and P# for Bank funded operations) to be prepared with the grant and expected Board date. If the operation is not aligned to a CAS objective or not in the CAS, explain.]

**II. Proposed Grant DO/Results**

[This section summarizes the grant development objective and the key results expected from the grant.]

A. Proposed Development Objective(s) [The PDO should focus on the result (outcome, intermediate outcome or output) for which the grant can be held accountable, given its duration, resources, and approach. It should not encompass higher-level objectives (goals) that depend on other efforts outside the scope of the grant. The PDO should answer the following question: “If the grant is successful, what will be its principal result for the primary target group?”]

B. Key Results

[No more than five indicators that reflect and measure success in achieving the PDO.]

Progress made under any resulting grant will be monitored according to these indicators:

|  |
| --- |
| Indicator 1: *Total number of beneficiaries(people and institutions) of capacity building activities by type of activity <if applicable>* |
| Base Value: | Date: |
|  |  |
| Target: | Date: |

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| Indicator 2: *Outputs from grant activities(number) (e.g. technical designs, result frameworks, social and environmental assessments, etc.)* |
| Base Value: | Date: |
|  |  |
| Target: | Date: |

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| Indicator 3: *<Name of the Indicator>* |
| Base Value: | Date: |
|  |  |
| Target: | Date: |
|  |  |
| Indicator 4: *<Name of the Indicator>* |
| Base Value: | Date: |
|  |  |
| Target: | Date: |
|  |  |
| Indicator 5: *<Name of the Indicator>* |
| Base Value: | Date: |
|  |  |
| Target: | Date: |

**III. Grant Context**

**[This section summarizes the grant context, including the description of grant concept, key risks and issues, and assessment of the implementing agency and grant stakeholders.]**

A. Concept

1. Description

[Description of grant concept and how its design will address the PDO of the grant. (Description of component or preliminary investment project that the preparation grant is supposed to contribute to)

|  |
| --- |
| 1. Component: *<Name of the Component>* |
| [Component description, including expenditure categories for the component (Goods, Consultants' services, Operating Costs, Training.)] |
|  |
| Result (Outcome, Intermediate Outcome or Output) |
|  |
|  |
| 1.1 Activity: *<Name of the Activity>* |
|  |
|  |
| 1.1.1 Output: *<Name of the Output>* |
|  |
|  |
| 1.1.2 Output: *<Name of the Output>* |
|  |
|  |
| 1.1.3 Output: *<Name of the Output>* |
|  |
|  |
| 1.2 Activity: *<Name of the Activity>* |
|  |
|  |
| 1.2.1 Output: *<Name of the Output>* |
|  |
|  |

|  |
| --- |
| 1.2.2 Output: *<Name of the Output>* |
|  |
|  |
| 1.2.3 Output: *<Name of the Output>* |
|  |
|  |
| *[ Activities and Outputs can be added as needed with the same hierarchy show above]* |

|  |
| --- |
| 2. Component: *<Name of the Component>* |
| [Component description, including expenditure categories for the component] |
|  |
| Result (Outcome, Intermediate Outcome or Output) |
|  |
|  |
| 2.1 Activity: *<Name of the Activity>* |
|  |
|  |
| 2.1.1 Output: *<Name of the Output>* |
|  |
|  |
| 2.1.2 Output: *<Name of the Output>* |
|  |
|  |
| 2.1.3 Output: *<Name of the Output>* |
|  |
|  |
| 2.2 Activity: *<Name of the Activity>* |
|  |
|  |
| 2.2.1 Output: *<Name of the Output>* |
|  |
|  |
| 2.2.2 Output: *<Name of the Output>* |
|  |
|  |
| 2.2.3 Output: *<Name of the Output>* |
|  |
|  |
| *[Other components, activities and outputs can be added as needed with the same hierarchy shown above.]* |

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| Proposed Use of Grant Funds |

Expense Overview (Copy from Excel file - financing plan)

|  |  |  |  |
| --- | --- | --- | --- |
| **Expense Category** | **Grant Funds (USD)** | **Other Financing (USD)** | **% of Grant Financing** |
| **1. Consultants' services** |  |  |  |
| **2. Goods** |  |  |  |
| **3. Operating Costs** |  |  |  |
| **4. Training** |  |  |  |
| **Total** |  |  |  |

2. Key Risks and Issues

[What are the key risks identified that might (i) jeopardize the grant preparation efforts; and (ii) during implementation, prevent the grant development objective(s) from being achieved. While many may not be identified, the team should flag those that are relevant for the grant as well as summarize the proposed mitigations measures.]

B. Implementing Agency Assessment

[Description of Grant Implementation and Monitoring Arrangements, both in terms of plans as well as issues/risks that are anticipated]

C. Grant Stakeholder Assessment

[Briefly summarize the roles and importance of the stakeholders in the country and sector and their potential contribution to the grant.]

**IV. Overall Risk Ratings**

**[This section summarizes the risk profile of the grant at Concept stage. Describe risks and mitigation measures based on simplified ORAF methodology, including rating scale]**

* 1. Risk Ratings Summary Table

|  |  |  |  |
| --- | --- | --- | --- |
| **Stakeholder Risk** | **Risk Rating** | **Risk Description** | **Mitigation measures** |
| **Implementing Agency Risk** |  |  |  |
| * Capacity |  |  |  |
| * Governance |  |  |  |
| **Grant Risk** |  |  |  |
| * Design |  |  |  |
| * Social and Environmental |  |  |  |
| * Program and Donor |  |  |  |
| * Delivery Monitoring and Sustainability |  |  |  |
| * Other (Optional) |  |  |  |
| * Other (Optional) |  |  |  |
| **Overall Implementation Risk** |  |  |  |

Overall Risk Rating Explanation (including recommendation)

[Provide the rationale for the proposed overall risk ratings at preparation and implementation by briefly describing the potential impact and likelihood of risks to achieving results. Identify both the level of risk and if the operation is eligible for fast track processing.]

|  |
| --- |
| Grant Team Members |
| (Please include team members with following expertise: Procurement, Financial Management, LOA, and LEG) |

|  |  |  |
| --- | --- | --- |
| Name | Title | Unit |
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| Review and Clearances |
| (CD/SM confirm endorsement of request, consistency with the CAS and allocation of supervision funds) |

|  |  |
| --- | --- |
| Country Director or Designee: *<Name>* | |
| Decision made: |  |
|  |  |  |
| Decision date: |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Sector Manager or Designee: *<Name>* | | | |
| Decision made: |  | | |
|  | |  |  |
| Decision date: |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| ECAPDEV Program Manager: *<Name>* | | | |
| Decision made: |  | | |
|  | |  |  |
| Decision date: |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| Project Team Committee or Designee: *<Name>* | | | |
| Decision made: |  | | |
|  |  |  |  |
| Decision date: |  | | |
| **Steering Committee or Designee: *<Name>*** | | | |
| **Decision made:** |  | | |
|  |  |  |  |
| **Decision date:** |  | | |